



04-14-03

2152 #7 BT  
4-29-03

HDP/SB/21 based on PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☐

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/878,787	
	Filing Date	June 11, 2001	
	First Named Inventor	Goddard et al.	
	Group Art Unit	2152	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	2	Attorney Docket Number	2186-000002/US

**RECEIVED**  
**APR 15 2003**  
**Technology Center 2100**

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Transmittal Form</b> <b>Form 1449</b> <b>postcard</b>
Remarks		The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.
Express Mail No. EV 197203443 US		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual name	Harness, Dickey & Pierce, P.L.C.	Attorney Name Michael J. Thomas	Reg. No. 39,857
Signature			
Date	April 11, 2003		

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below.			
Typed or printed name	Michael J. Thomas		
Signature		Date	April 11, 2003

EV 197203443-US



EV 197203443-US

HD&P Docket No. 2186-000002/US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Goddard et al.

Serial No.: 09/878,787

Filed: June 11, 2001

Art Unit: 2152

Examiner: Unknown

For: SYSTEM AND METHOD FOR AN APPLICATION-SPACER SERVER  
CLUSTER

RECEIVED

APR 15 2003

Technology Center 2100

---

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Dear Sir:

In order to comply with 37 CFR 1.97 and 1.98, a copy of Form PTO-1449 and copies of the documents listed on it are attached.

In accordance with MPEP 609 and 707.05(b), Applicant respectfully requests that each document listed be given thorough consideration and that the Examiner cite each document of record in the prosecution history of the present application by initialing Form PTO-1449 next to each document. Applicant requests such initialing even if the Examiner does not consider: (1) a listed document to be sufficiently pertinent to use in a rejection; (2) a document to be prior art for any reason; or (3) that the guidelines for citation have been fully complied with respecting a particular document. Applicant makes this request so that each document becomes cited on the face of a patent issuing on the present application.

Applicant submits the present Information Disclosure Statement in compliance with the duty to disclose information material to patentability under 37 CFR 1.56, but by listing such documents Applicant is not admitting that such documents are necessarily

relevant or prior art. Applicant intends no representation that the listed documents represent the results of a complete search. Applicant anticipates that the Examiner, in the normal course of examination, will make an independent search to determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of such search, will review for relevance every document listed on the attached Form even if not initialed.

Applicant earnestly solicits early and favorable consideration. If the Examiner should have any questions with regard to this filing, he or she should contact the undersigned attorney at (314) 726-7500.

Dated: 4-11-03

Respectfully submitted,

BY:

  
Michael J. Thomas

Reg. No. 39,857

Attorneys for Applicant



EV 197203443-US

FORM HDP-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE  
INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Sheet 1 of 1

ATTORNEY DOCKET No.

2186-000002/US

SERIAL No.

09/878,787

APPLICANT

Goddard et al.

FILING DATE

June 11, 2001

GROUP

2152

**U.S. PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.						

**FOREIGN PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
1.							

**OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)**

Ref. Desig.	Examiner's Initials	
1.		International Search Report, for International Application No. PCT/US 01/49863.
2.		Trevor Schoeder, Steve Goodard: The SASHA Cluster-Based Web Server, Internet Publication, 'Online! 23 November 1999 (1999-11-23), XP—002207279.
3.		Trevor Schroeder, Steve Goddard: The SASHA Cluster-Based Web Server Internet Publication, 'Online 16 August 2000 (2000-08-16), XP 002207280.
4.		Anonymous: "Zwenku main page" Internet Publication, 'Online! 1 March 2000 (2000-03-01), XP 002207281.
5.		Schroeder T. et al: Scalable Web Server Clustering Technologies, IEEE Network, May-June 2000, IEEE, USA, vol. 14, no. 3, pages 38-45 XP002207282, ISSN: 0890-8044

**RECEIVED**

APR 15 2003

Technology Center 2100

Examiner:

Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.